## IN THE UNITED STATES DISTRICT COURT FOR THE DISTRICT OF MARYLAND

IN RE MUTUAL FUNDS INVESTMENT  $^*_*$  MDL 1586

LITIGATION

\* No. 04-15863

In Re Alger, Columbia, Janus, MFS, One

Group, Allianz Dresdner and Putnam

Robinson v. One Group International

No. 04-629

Equity Index Fund, et al.

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## [PROPOSED] INVESTOR CLASS ORDER

For the reasons stated in the Memorandum filed November 3, 2005, it is, this day of \_\_\_\_\_, 200\_

## ORDERED:

- 1. All claims against One Group Mutual Funds are dismissed without leave to amend:
- 2. The motions filed by Bank One Corporation ("Bank One"), Banc One Investment Advisors Corporation ("BOIA"), Banc One High Yield Partners, LLC ("BOHYP"), One Group Dealer Services, Inc. ("OGDS"), One Group Services Company ("OGSC") and Mark A. Beeson, John Abunassar, David J. Kundert, Peter W. Atwater, Richard R. Jandrain, III, Gary J. Madich, Kenneth T. Stevens, David R. Meuse, William G. Jurgenson, William P. Boardman, Richard W. Vague and Richard R. Wade (the "BOIA Directors and Officers") are:
  - Denied as to all claims under the Exchange Act of 1934; (a)
  - Granted as to all claims under the Securities Act of 1933 (b)

without leave to amend:

- (c) Granted as to claims under Sections 34(b) and 36(a) of the Investment Company Act ("ICA") and the related claims under Section 48(a) of the ICA without leave to amend;
- (d) Denied as to claims under Section 36(b) of the ICA asserted against BOIA, BOHYP, OGDS and OGSC and the related claim under Section 48(a) of the ICA against Bank One;
- (e) Granted as to claims under Section 36(b) of the ICA asserted against the BOIA Directors and Officers;
- (f) Granted as to state law claims but plaintiffs are granted leave to amend second consolidated complaints with a deadline to be set after conferring with counsel;
- 3. The motions filed by Peter C. Marshall, Frederick W. Ruebeck, Robert A. Oden, Jr., John F. Finn, Marilyn McCoy, Julius L. Pallone, Donald L. Tuttle, and Charles I. Post (the "Independent Trustees") are:
- (a) Deferred as to the claim under Section 20(a) of the Exchange Act of 1934;
- (b) Granted as to all the claims under the Securities Act of1933 and the ICA without leave to amend;
- (c) Granted as to state law claims but plaintiffs are granted leave to amend second consolidated complaints with a deadline to be set after conferring with counsel;

- 4. The action is stayed as against Edward J. Stern, Canary Capital Partners, LLC, Canary Capital Partners, Ltd., and Canary Investment Management, LLC.;
- 5. Motions filed by Kaplan & Company Securities, Inc., Trautman Wasserman & Company, Inc., Pritchard Capital Partners, LLC, Aurum Securities Corp., Prudential Securities, Inc. and Circle Trust are:
- (a) Granted as to claims under the Exchange Act of 1934 without leave to amend;
- (b) Granted as to state law claims but plaintiffs are granted leave to amend the consolidated amended class action complaint with a deadline to be set after conferring with counsel;
- 6. Motions filed by Banc of America Securities, LLC, Bear Stearns Securities Corporation, Bear Stearns & Co. Inc., AST Trust Co. (Security Trust Company, N.A.), and Grant D. Seeger are:
  - (a) Denied as to claims under the Exchange Act of 1934;
- (b) Granted as to state law claims but plaintiffs are granted leave to amend the consolidated amended class action complaint with a deadline to be set after conferring with counsel.
  - 7. Motion filed by Bank of America Corporation is
    - (a) Deferred as to claims under the Exchange Act of 1934;
- (b) Granted as to the state law claims but, if Bank of America Corporation is held to be a proper defendant, plaintiffs are granted leave to amend second consolidated complaints within a deadline to be set after conferring with counsel.

J. Frederick Motz

United States District Judge